

**CÔNG TY CỔ PHẦN
KHOÁNG SẢN BẮC KẠN
BAC KAN MINERALS
JOINT STOCK CORPORATION**

**CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM
Độc lập - Tự do - Hạnh phúc
THE SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

Số/No.: **308** /BKC-CBTT

Thái Nguyên, ngày **18** tháng 7 năm 2026
Thai Nguyen, day **18** month 7 year 2026

**CÔNG BỐ THÔNG TIN BẤT THƯỜNG
EXTRAORDINARY INFORMATION DISCLOSURE¹**

Kính gửi: Ủy ban chứng khoán Nhà nước;
Sở Giao dịch chứng khoán Việt Nam;
Sở Giao dịch Chứng khoán Hà Nội;

To: State Securities Commission of Vietnam
Vietnam Exchange
Hanoi Stock Exchange

1. Tên tổ chức/Name of organization: CÔNG TY CỔ PHẦN KHOÁNG SẢN BẮC KẠN/ BAC KAN MINERALS JOINT STOCK CORPORATION

- Mã chứng khoán/Mã thành viên/ Stock code/ Broker code: **BKC**
- Địa chỉ/Address: Tổ dân phố 4A, Phường Đức Xuân, tỉnh Thái Nguyên/ *Residential Group 4A, Duc Xuan Ward, Thai Nguyen Province.*
- Điện thoại liên hệ/Tel.: 02093 812 399
- E-mail: bkc@backanco.com - Website: <http://backanco.com/>

2. Nội dung thông tin công bố/Contents of disclosure:

Công ty Cổ phần khoáng sản Bắc Kạn công bố Báo cáo tình hình Quản trị Công ty 06 tháng năm 2026/ Bac Kan Mineral Joint Stock Corporation discloses the Corporate Governance Report for the first 6 months of 2026.

3. Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 18/7/2026 tại đường dẫn <http://backanco.com/>/This information was published on the company's website on 18/7/2026 (date), as in the link <http://backanco.com/>

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố./We hereby certify

¹ Trường hợp có sự mâu thuẫn hoặc khác biệt trong cách hiểu giữa nội dung tiếng Việt và nội dung tiếng Anh, thì nội dung tiếng Việt được áp dụng./ In the event of any conflict or discrepancy in interpretation between the Vietnamese and English versions, the Vietnamese version shall prevail.

that the information provided is true and correct and we bear the full responsibility to the law.

Tài liệu đính kèm/Attached documents:

- Báo cáo tình hình quản trị Công ty 06 tháng năm 2026/ Corporate Governance Report for the first 6 months of 2026.

Đại diện tổ chức
Organization representative

Người UQ CBTT

Person authorized to disclose information



Nguyễn Văn Vũ/ Nguyen Van Vu



No.: 59 /2026/BC-HDQT

Thai Nguyen, date 18 month 7 year 2026

**REPORT
ON CORPORATE GOVERNANCE
(First Six Months of 2026)**

To:

- State Securities Commission of Vietnam;
- Hanoi Stock Exchange.

- **Company name:** BAC KAN MINERAL JOINT STOCK CORPORATION
- **Head Office:** Residential Area 4A, Duc Xuan Ward, Thai Nguyen Province, Vietnam
- **Telephone:** (+84) 209 3812 399 Email: bkc@backanco.com
- **Charter Capital:** VND 234,754,560,000
- **Stock Code:** BKC
- **Corporate governance model:** General Meeting of Shareholders, Board of Directors, Supervisory Board and General Director (in accordance with Point a, Clause 1, Article 137 of the Law on Enterprises).
- **Internal audit function:** Not yet implemented.

I. ACTIVITIES OF THE GENERAL MEETING OF SHAREHOLDERS

Information on meetings and Resolutions/Decisions of the General Meeting of Shareholders (including resolutions adopted through written consultation):

No.	Resolution/Decision No.	Date	Content
01	01/2026/NQ-ĐHĐCĐ	16/6/2026	Resolution of the 2026 Annual General Meeting of Shareholders

II. BOARD OF DIRECTORS (First Six Months of 2026)

1. Information on Members of the Board of Directors (BOD)

At the 2026 Annual General Meeting of Shareholders (held on June 16, 2026), the Board of Directors for the 2021–2026 term was dismissed upon the expiration of its term of office, and a new Board of Directors for the 2026–2031 term, comprising five (05) members, was elected.

The Board of Directors for the 2026–2031 term subsequently elected Mr. Vu Phi

Ho, a member of the Board of Directors, as Chairman of the Board of Directors for the 2026–2031 term.

1.1. Board of Directors for the 2021–2026 Term

No.	Member of the Board of Directors	Position	Date of Appointment/Cessation as a Member of the Board of Directors/Independent Member of the Board of Directors	
			Appointment Date	Cessation Date
01	Mr. Vu Phi Ho	Chairman of the Board of Directors	26/4/2021	16/6/2026
02	Mr. Dinh Van Hien	Member of the Board of Directors	26/4/2021	16/6/2026
03	Mr. Nguyen Tran Nhat	Member of the Board of Directors	26/4/2021	16/6/2026
04	Mr. Nguyen Huy Hoan	Member of the Board of Directors	26/4/2021	16/6/2026
06	Mr. Le Minh Khue	Member of the Board of Directors	18/6/2025	16/6/2026

1.2. Board of Directors for the 2026–2031 Term

No.	Member of the Board of Directors	Position	Date of Appointment/Cessation as a Member of the Board of Directors/Independent Member of the Board of Directors	
			Appointment Date	Cessation Date
01	Mr. Vu Phi Ho	Chairman of the Board of Directors	16/6/2026	-
02	Mr. Dinh Van Hien	Member of the Board of Directors	16/6/2026	-
03	Mr. Nguyen Tran Nhat	Member of the Board of Directors	16/6/2026	-

No.	Member of the Board of Directors	Position	Date of Appointment/Cessation as a Member of the Board of Directors/Independent Member of the Board of Directors	
			Appointment Date	Cessation Date
04	Mr. Nguyen Huy Hoan	Member of the Board of Directors	16/6/2026	-
05	Mr. Le Minh Khue	Independent Member of the Board of Directors	16/6/2026	-

2. Meetings of the Board of Directors

2.1. Meetings of the Board of Directors for the 2021–2026 Term

No.	Member of the Board of Directors	Number of BOD Meetings Attended	Attendance Rate	Reason for Absence
01	Mr. Vu Phi Ho	02/02	100%	-
02	Mr. Dinh Van Hien	02/02	100%	-
03	Mr. Nguyen Tran Nhat	02/02	100%	-
04	Mr. Nguyen Huy Hoan	02/02	100%	-
05	Mr. Le Minh Khue	02/02	100%	-

2.2. Meetings of the Board of Directors for the 2026–2031 Term

No.	Member of the Board of Directors	Number of BOD Meetings Attended	Attendance Rate	Reason for Absence
01	Mr. Vu Phi Ho	01/01	100%	-
02	Mr. Dinh Van Hien	01/01	100%	-
03	Mr. Nguyen Tran Nhat	01/01	100%	-
04	Mr. Nguyen Huy Hoan	01/01	100%	-

05	Mr. Le Minh Khue	01/01	100%	
----	------------------	-------	------	--

3. Supervisory Activities of the Board of Directors over the Board of Management

The Board of Directors closely monitored the Company's operations and the management activities of the Board of Management on a regular basis. Through Board meetings and consultations conducted via email, the Board of Directors discussed and provided opinions on various important matters relating to the management and operation of the Board of Management.

In addition, based on the General Director's verbal and written reports, the Board of Directors was kept informed of the Company's business performance in a timely manner and supervised the Board of Management in implementing the Resolutions of the General Meeting of Shareholders and the Resolutions of the Board of Directors.

4. Activities of Committees under the Board of Directors (if any): The Board of Directors has not established any committees.

5. Resolutions/Decisions of the Board of Directors (First Six Months of 2026):

No.	Resolution/Decision No.	Date	Content	Approval rate
01	02/2026/NQ-HĐQT	05/01/2026	Resolution approving the Company's salary scale and payroll system effective from January 1, 2026.	100% (05/05)
02	03/2026/NQ-HĐQT	05/01/2026	Resolution on changing the name of the Company's Branch.	100% (05/05)
03	06/2026/NQ-HĐQT	30/03/2026	Resolution on postponing the date of the 2026 Annual General Meeting of Shareholders and the record date for determining shareholders entitled to attend the General Meeting of Shareholders.	100% (05/05)
04	07/2026/NQ-HĐQT	30/6/2026	Resolution approving contracts and transactions between the Company and NNB and its related parties, or related parties of the Company.	100% (05/05)
05	08/2026/NQ-HĐQT	14/4/2026	Resolution on cancelling the record date and extending the time for holding the 2026 Annual General Meeting of Shareholders.	100% (05/05)

No.	Resolution/Decision No.	Date	Content	Approval rate
06	12/2026/NQ-HĐQT	24/4/2026	Resolution approving the date of the 2026 Annual General Meeting of Shareholders and the record date for determining shareholders entitled to attend the General Meeting of Shareholders.	100% (05/05)
07	13/2026/NQ-HĐQT	24/4/2026	Resolution on attending the 2026 General Meeting of Shareholders of Nghe An Bus Station Joint Stock Company.	100% (05/05)
08	14/2026/NQ-HĐQT	24/4/2026	Resolution approving contracts and transactions between the Company and NNB, its related parties, or related parties of the Company.	100% (05/05)
09	18/2026/NQ-HĐQT	29/4/2026	Resolution on organizing the 2026 Annual General Meeting of Shareholders	100% (05/05)
10	19/2026/QĐ-HĐQT	22/5/2026	Decision on establishing the Organizing Committee, the Shareholder Eligibility Verification Committee, the Secretariat and the Vote Counting Committee for the 2026 Annual General Meeting of Shareholders.	100% (05/05)
11	45/2026/NQ-HĐQT	16/6/2026	Resolution on the election of the Chairman of the Board of Directors for the 2026–2031 term.	100% (05/05)
12	50/2026/NQ-HĐQT	16/6/2026	Resolution authorizing the General Director to select banking institutions and execute credit documentation with commercial banks.	100% (05/05)
13	51/2026/NQ-HĐQT	16/6/2026	Resolution approving the policy for entering into and implementing contracts and transactions between the Company and NNB and its	100% (05/05)

No.	Resolution/Decision No.	Date	Content	Approval rate
			related parties, or related parties of the Company, falling under the authority of the Board of Directors and expected to arise during 2026.	
14	52/2026/NQ-HĐQT	16/6/2026	Resolution on the remuneration of the Board of Directors for 2026.	100% (05/05)
15	54/2026/NQ-HĐQT	16/6/2026	Resolution on attending the General Meeting of Shareholders of 9999 Zinc Lead Joint Stock Company.	100% (05/05)
16	57/2026/NQ-HĐQT	26/6/2026	Resolution on implementing the share issuance plan to increase charter capital from owners' equity.	100% (05/05)
17	58/2026/NQ-HĐQT	26/6/2026	Resolution approving the proposal to amend the investment policy for the Na Duong lead-zinc ore mining project.	100% (05/05)

III. SUPERVISORY BOARD (First Six Months of 2026):

1. Information on Members of the Supervisory Board:

At the 2026 Annual General Meeting of Shareholders (held on June 16, 2026), the Supervisory Board for the 2021–2026 term was dismissed upon the expiration of its term of office, and a new Supervisory Board for the 2026–2031 term, comprising three (03) members, was elected.

The Supervisory Board for the 2026–2031 term subsequently elected **Mr. Nguyen The Phong**, a member of the Supervisory Board, as **Head of the Supervisory Board** for the 2026–2031 term.

1.1. Supervisory Board for the 2021–2026 Term

No.	Supervisory Board	Position	Appointment Date	Cessation Date	Professional Qualification
01	Mr. Nguyen The Phong	Head of the Supervisory Board	26/4/2021	16/6/2026	Bachelor's Degree
02	Mr. Bui Duc Hung	Member	26/4/2021	16/6/2026	Bachelor's Degree

No.	Supervisory Board	Position	Appointment Date	Cessation Date	Professional Qualification
03	Mr. Dang Thanh Van	Member	26/4/2021	16/6/2026	Master's Degree

1.2. Supervisory Board for the 2026–2031 Term

No.	Supervisory Board	Position	Appointment Date	Cessation Date	Professional Qualification
01	Mr. Nguyen The Phong	Head of the Supervisory Board	16/6/2026	-	Bachelor's Degree
02	Mr. Bui Duc Hung	Member	16/6/2026	-	Bachelor's Degree
03	Mr. Bui Manh Cuong	Member	16/6/2026	-	Bachelor's Degree

2. Meetings of the Supervisory Board

2.1. Supervisory Board for the 2021–2026 Term

No.	Member of the Supervisory Board	Number of Meetings Attended	Attendance Rate	Voting Rate	Reason for Absence
01	Mr. Nguyen The Phong	01/01	100%	100%	-
02	Mr. Bui Duc Hung	01/01	100%	100%	-
03	Mr. Bui Manh Cuong	01/01	100%	100%	-

2.2. Supervisory Board for the 2026–2031 Term

No.	Member of the Supervisory Board	Number of Meetings Attended	Attendance Rate	Voting Rate	Reason for Absence
01	Mr. Nguyen The Phong	01/01	100%	100%	-
02	Mr. Bui Duc Hung	01/01	100%	100%	-

No.	Member of the Supervisory Board	Number of Meetings Attended	Attendance Rate	Voting Rate	Reason for Absence
03	Mr. Bui Manh Cuong	01/01	100%	100%	-

3. Supervisory Activities of the Supervisory Board over the Board of Directors, the Board of Management and Shareholders

3.1. Supervision of the Board of Directors: Based on its supervisory activities, the Supervisory Board concluded that the Board of Directors properly implemented the Resolutions of the General Meeting of Shareholders and the Resolutions of the Board of Directors. The agenda and materials for Board meetings were adequately prepared, and matters discussed were openly deliberated and approved in accordance with the functions, duties and authority of the Board of Directors. The meetings of the Board of Directors were attended by a sufficient number of members as required by applicable laws and the Company's Charter. The Board of Directors also regularly supervised and directed the Board of Management and the Company's management personnel in implementing the Company's business plan and the Resolutions and Decisions of the General Meeting of Shareholders and the Board of Directors.

3.2. Supervision of the Board of Management: The Board of Management implemented the Resolutions of the General Meeting of Shareholders and the Resolutions and Decisions of the Board of Directors, and managed the Company's business operations in accordance with its functions, duties and authority as prescribed by applicable laws and the Company's Charter. The management and executive activities of the Board of Management complied with the Resolutions of the General Meeting of Shareholders and the Resolutions and Decisions of the Board of Directors.

3.3. Supervision of Shareholders: Through its supervisory activities, the Supervisory Board did not identify any violations by the Company's shareholders of the Law on Securities or the regulations on information disclosure. The Supervisory Board also did not receive any complaints or recommendations from shareholders regarding any violations committed by the Board of Directors, the Supervisory Board or the Company's management personnel in the performance of their duties.

4. Coordination between the Supervisory Board and the Board of Directors, the Board of Management and Other Management Personnel:

In performing its supervisory duties, the Supervisory Board consistently received the cooperation and support of the members of the Board of Directors, the Board of Management, and other management personnel of the Company, which enabled it to effectively carry out its responsibilities.

5. Other Activities of the Supervisory Board (if any): The Supervisory Board closely coordinated with the Board of Directors, the Board of Management and the

Organizing Committee of the General Meeting of Shareholders in preparing the documents, reports and other materials for the 2025 fiscal year and the 2021–2026 term, as well as nominating candidates for the Supervisory Board for the 2026–2031 term for consideration and approval by the 2026 Annual General Meeting of Shareholders. The Supervisory Board also elected the Head of the Supervisory Board for the 2026–2031 term in accordance with applicable laws and the Company's Charter.

IV. BOARD OF MANAGEMENT:

No.	Member of the Board of Management	Year of Birth	Professional Qualification	Appointment/Cessation Date
01	Mr. Dinh Van Hien	1967	Bachelor's Degree	03/11/2018
02	Mr. Nguyen Tran Nhat	1976	-	28/05/2015
03	Mr. Vu Gia Hanh	1978	Bachelor's Degree	11/05/2017
04	Mr. Tran Van Quyen	1982	-	11/04/2018
05	Mr. Do Dinh Thang	1982	Bachelor's Degree	19/12/2019

V. CHIEF ACCOUNTANT:

Full Name	Year of Birth	Professional Qualification	Appointment/Cessation Date
Ms. Tran Thi Yen	1971	Bachelor's Degree	22/6/2017

VI. TRAINING ON CORPORATE GOVERNANCE

Training courses on corporate governance attended by members of the Board of Directors, members of the Supervisory Board, the General Director, other management personnel, and the Company Secretary in accordance with the regulations on corporate governance.

VII. LIST OF RELATED PERSONS OF THE PUBLIC COMPANY (First Six Months of 2026) AND TRANSACTIONS BETWEEN RELATED PERSONS AND THE COMPANY

1. List of Related Persons of the Company

No.	Name of Organization/Individual	Securities Trading Account (if any)	Position in the Company (if any)	Enterprise Registration Certificate/ID/Passport No.*, Date of Issue, Place of Issue	Registered Office/Contact Address	Date Became a Related Person	Date Ceased to be a Related Person	Reason	Relationship with the Company
01	Mr. Vu Phi Ho		Chairman of the Board of Directors			30/07/2013			Chairman of the Board of Directors
02	Mr. Dinh Van Hien	001C106759	Member of the Board of Directors, General Director			18/04/2008			General Director and Legal Representative
03	Mr. Nguyen Huy Hoan	005C053156	Member of the Board of Directors			01/06/2014			Member of the Board of Directors
04	Mr. Nguyen Tran Nhat		Member of the Board of Directors, Deputy General Director			28/05/2015			Member of the Board of Directors and Deputy General Director
05	Mr. Le Minh Khue		Member of the Board of Directors			18/06/2025	-		Independent Member of the Board of

No.	Name of Organization/Individual	Securities Trading Account (if any)	Position in the Company (if any)	Enterprise Registration Certificate/ID/Passport No.*, Date of Issue, Place of Issue	Registered Office/Contact Address	Date Became a Related Person	Date Ceased to be a Related Person	Reason	Relationship with the Company
									Directors
06	Mr. Vu Gia Hanh	038C002247 021C047182	Deputy General Director			11/05/2017			Deputy General Director
07	Mr. Tran Van Quyen		Deputy General Director			11/04/2018			Deputy General Director
08	Mr. Do Dinh Thang		Deputy General Director			19/12/2019			Deputy General Director
09	Ms. Tran Thi Yen		Chief Accountant			22/06/2017			Chief Accountant
10	Mr. Nguyen The Phong	021C589765	Head of the Supervisory Board			28/05/2015			Head of the Supervisory Board
11	Mr. Dang Thanh Van	026C229975	Member of the Supervisory Board			28/05/2015	Dismissed 16/6/2026		Member of the Supervisory Board

No.	Name of Organization/Individual	Securities Trading Account (if any)	Position in the Company (if any)	Enterprise Registration Certificate/ID/Passport No.*, Date of Issue, Place of Issue	Registered Office/Contact Address	Date Became a Related Person	Date Ceased to be a Related Person	Reason	Relationship with the Company
12	Mr. Bui Duc Hung	038C002245	Member of the Supervisory Board			29/04/2017	-		Member of the Supervisory Board
13	Mr. Bui Manh Cuong	005C060831	Member of the Supervisory Board			16/6/2026	-		Member of the Supervisory Board
14	Ms. Tran Thi Tuyet		Head of Finance and Accounting Department			22/06/2017			Head of Accounting Department
15	Mr. Nguyen Van Vu	002C092201	Information Disclosure Officer			01/9/2020			Information Disclosure Officer
16	Nghe An Bus Station Joint Stock Company					12/12/2014			Bac Kan Mineral Joint Stock Corporation is a major shareholder of Nghe An Bus

No.	Name of Organization/Individual	Securities Trading Account (if any)	Position in the Company (if any)	Enterprise Registration Certificate/ID/Passport No.*, Date of Issue, Place of Issue	Registered Office/Contact Address	Date Became a Related Person	Date Ceased to be a Related Person	Reason	Relationship with the Company
									Station Joint Stock Company.
17	Thien Ma Group Company Limited					15/01/2015			Thien Ma Group Company Limited is a major shareholder of Bac Kan Mineral Joint Stock Corporation.
18	9999 Zinc Lead Joint Stock Company					18/6/2025			Bac Kan Mineral Joint Stock Corporation is the parent company of 9999 Zinc Lead Joint Stock Company.
19	ANB Services Company Limited					15/01/2015			ANB Services Company Limited is a major shareholder of Bac Kan Mineral Joint

No.	Name of Organization/Individual	Securities Trading Account (if any)	Position in the Company (if any)	Enterprise Registration Certificate/ID/Passport No.*, Date of Issue, Place of Issue	Registered Office/Contact Address	Date Became a Related Person	Date Ceased to be a Related Person	Reason	Relationship with the Company
									Stock Corporation.
20	Tay Nguyen Durian Joint Stock Company					29/9/2022			Bac Kan Mineral Joint Stock Corporation holds 2.08% of the charter capital of Tay Nguyen Durian Joint Stock Company.

2. Transactions between the Company and its Related Persons; or between the Company and Major Shareholders, Internal Persons, or Related Persons of Internal Persons

No.	Name of Organization/Individual	Relationship with the Company	Enterprise Registration Certificate No., Date of Issue, Issuing Authority	Registered Office/Contact Address	Transaction Period	Resolution/Decision of the GMS/BOD Approving the Transaction (if any)	Details, Quantity and Total Transaction Value	Remarks
01	Nghe An Bus Station Joint Stock Company	Related person of an internal person	ERC No. 2900599622, issued on August 23, 2024 by the Department of Finance of Nghe An Province	No. 77 Le Loi Street, Thanh Vinh Ward, Nghe An Province	2026	Resolution No. 13/2026/NQ-BOD dated April 24, 2026	Bac Kan Mineral Joint Stock Corporation owns 1,021,680 shares, representing 5.4% of the charter capital of Nghe An Bus Station Joint Stock Company.	Participation in the 2026 General Meeting of Shareholders of Nghe An Bus Station Joint Stock Company.
02	Thien Ma Group Company Limited	Major shareholder; related person of an internal person	ERC No. 4700247955, issued on November 20, 2025 by the	Hamlet 13, Cho Don Commune, Thai Nguyen Province	2026	Resolution No. 18/2026/NQ-BOD dated April 29, 2026	Thien Ma Group Company Limited owns 6,000,000	Organization of the 2026 Annual General Meeting of

No.	Name of Organization/Individual	Relationship with the Company	Enterprise Registration Certificate No., Date of Issue, Issuing Authority	Registered Office/Contact Address	Transaction Period	Resolution/Decision of the GMS/BOD Approving the Transaction (if any)	Details, Quantity and Total Transaction Value	Remarks
			Department of Finance of Thai Nguyen Province				shares, representing 25.56% of the charter capital of Bac Kan Mineral Joint Stock Corporation.	Shareholders.
03	9999 Zinc Lead Joint Stock Company	Subsidiary of Bac Kan Mineral Joint Stock Corporation	ERC No. 4700297378, issued on September 30, 2025 by the Department of Finance of Thai Nguyen Province	Thanh Thinh Industrial Cluster, Thanh Thinh Commune, Thai Nguyen Province	2026	Resolution No. 07/2026/NQ-BOD dated March 30, 2026	Bac Kan Mineral Joint Stock Corporation holds 80% of the charter capital of 9999 Zinc Lead Joint Stock Company; total transaction value: VND 10	Approval of contracts and transactions between the Company and internal persons or their related persons.

No.	Name of Organization/Individual	Relationship with the Company	Enterprise Registration Certificate No., Date of Issue, Issuing Authority	Registered Office/Contact Address	Transaction Period	Resolution/Decision of the GMS/BOD Approving the Transaction (if any)	Details, Quantity and Total Transaction Value	Remarks
							billion.	
04	9999 Zinc Lead Joint Stock Company	Subsidiary of Bac Kan Mineral Joint Stock Corporation	ERC No. 4700297378, issued on September 30, 2025 by the Department of Finance of Thai Nguyen Province	Thanh Thinh Industrial Cluster, Thanh Thinh Commune, Thai Nguyen Province	2026	Resolution No. 14/2026/NQ-BOD dated April 24, 2026	Bac Kan Mineral Joint Stock Corporation holds 80% of the charter capital of 9999 Zinc Lead Joint Stock Company; total transaction value: VND 15 billion.	Approval of contracts and transactions between the Company and internal persons or their related persons.
05	9999 Zinc Lead Joint Stock Company	Subsidiary of Bac Kan Mineral Joint Stock Corporation	ERC No. 4700297378, issued on September 30, 2025 by the	Thanh Thinh Industrial Cluster, Thanh Thinh Commune, Thai Nguyen Province	2026	Resolution No. 54/2026/NQ-BOD dated June 16, 2026	Bac Kan Mineral Joint Stock Corporation holds 80% of	Participation in the 2026 General Meeting of Shareholders

No.	Name of Organization/Individual	Relationship with the Company	Enterprise Registration Certificate No., Date of Issue, Issuing Authority	Registered Office/Contact Address	Transaction Period	Resolution/Decision of the GMS/BOD Approving the Transaction (if any)	Details, Quantity and Total Transaction Value	Remarks
			Department of Finance of Thai Nguyen Province				the charter capital of 9999 Zinc Lead Joint Stock Company.	of 9999 Zinc Lead Joint Stock Company.

3. Transactions between the Company's Internal Persons, Related Persons of Internal Persons, and the Company's Subsidiaries or Companies Controlled by the Company:

No.	Name of Organization/Individual	Securities Trading Account (if any)	Position in the Company (if any)	Enterprise Registration Certificate/ID/Passport No.*, Date of Issue, Place of Issue	Registered Office/Contact Address	Date Became a Related Person	Date Ceased to be a Related Person	Reason
01	9999 Zinc Lead Joint Stock Company	Subsidiary of Bac Kan Mineral Joint Stock Corporation	ERC No. 4700297378, issued on September 30, 2025 by the Department of Finance of Thai Nguyen Province	Thanh Thinh Industrial Cluster, Thanh Thinh Commune, Thai Nguyen Province	2026	Resolution No. 07/2026/NQ-BOD dated March 30, 2026	Bac Kan Mineral Joint Stock Corporation holds 80% of the charter capital of 9999 Zinc Lead Joint Stock Company; total transaction value: VND 10 billion.	Approval of contracts and transactions between the Company and internal persons or their related persons.
02	9999 Zinc Lead Joint Stock Company	Subsidiary of Bac Kan Mineral Joint Stock	ERC No. 4700297378, issued on September 30, 2025 by the Department of	Thanh Thinh Industrial Cluster, Thanh Thinh Commune, Thai Nguyen Province	2026	Resolution No. 14/2026/NQ-BOD dated April 24, 2026	Bac Kan Mineral Joint Stock Corporation holds 80% of the charter	Approval of contracts and transactions between the Company

No.	Name of Organization/Individual	Securities Trading Account (if any)	Position in the Company (if any)	Enterprise Registration Certificate/ID/Passport No.*, Date of Issue, Place of Issue	Registered Office/Contact Address	Date Became a Related Person	Date Ceased to be a Related Person	Reason
		Corporation	Finance of Thai Nguyen Province				capital of 9999 Zinc Lead Joint Stock Company; total transaction value: VND 15 billion.	and internal persons or their related persons.
03	9999 Zinc Lead Joint Stock Company	Subsidiary of Bac Kan Mineral Joint Stock Corporation	ERC No. 4700297378, issued on September 30, 2025 by the Department of Finance of Thai Nguyen Province	Thanh Thinh Industrial Cluster, Thanh Thinh Commune, Thai Nguyen Province	2026	Resolution No. 54/2026/NQ-BOD dated June 16, 2026	Bac Kan Mineral Joint Stock Corporation holds 80% of the charter capital of 9999 Zinc Lead Joint Stock Company.	Participation in the 2026 General Meeting of Shareholders of 9999 Zinc Lead Joint Stock Company.

4. Transactions between the Company and Other Parties:

4.1. Transactions between the Company and Companies in which Members of the Board of Directors, Members of the Supervisory Board, the General Director, or Other Managers have served as Founding Members, Members of the Board of Directors, or Executive Directors/General Directors during the Last Three (03) Years (as of the Reporting Date):

No.	Name of Organization/Individual	Relationship with the Company	Enterprise Registration Certificate No., Date of Issue, Issuing Authority	Registered Office/Contact Address	Transaction Period	Resolution/Decision of the GMS/BOD Approving the Transaction (if any)	Number of Shares and Ownership Percentage after the Transaction	Remarks
01	Nghe An Bus Station Joint Stock Company	Related person of an internal person; Mr. Vu Phi Ho, Chairman of the Board of Directors of Bac Kan Mineral Joint Stock Corporation, also serves as Chairman of the Board of Directors of Nghe An Bus Station Joint Stock	ERC No. 2900599622, issued on August 23, 2024 by the Department of Finance of Nghe An Province	No. 77 Le Loi Street, Thanh Vinh Ward, Nghe An Province	2026	Resolution No. 13/2026/NQ-BOD dated April 24, 2026	Bac Kan Mineral Joint Stock Corporation owns 1,021,680 shares , representing 5.4% of the charter capital of Nghe An Bus Station Joint Stock Company.	Participation in the 2026 General Meeting of Shareholders of Nghe An Bus Station Joint Stock Company.

No.	Name of Organization/Individual	Relationship with the Company	Enterprise Registration Certificate No., Date of Issue, Issuing Authority	Registered Office/Contact Address	Transaction Period	Resolution/Decision of the GMS/BOD Approving the Transaction (if any)	Number of Shares and Ownership Percentage after the Transaction	Remarks
		Company.						
02	Thien Ma Group Company Limited	Major shareholder and related person of an internal person; Mr. Nguyen Tran Nhat , Member of the Board of Directors, also serves as a Member of the Members' Council of Thien Ma Group Company	ERC No. 4700247955, issued on November 20, 2025 by the Department of Finance of Thai Nguyen Province	Hamlet 13, Cho Don Commune, Thai Nguyen Province	2026	Resolution No. 18/2026/NQ-BOD dated April 29, 2026	Thien Ma Group Company Limited owns 6,000,000 shares , representing 25.56% of the charter capital of Bac Kan Mineral Joint Stock Corporation.	Organization of the 2026 Annual General Meeting of Shareholders

No.	Name of Organization/Individual	Relationship with the Company	Enterprise Registration Certificate No., Date of Issue, Issuing Authority	Registered Office/Contact Address	Transaction Period	Resolution/Decision of the GMS/BOD Approving the Transaction (if any)	Number of Shares and Ownership Percentage after the Transaction	Remarks
		Limited.						
03	9999 Zinc Lead Joint Stock Company	Subsidiary of Bac Kan Mineral Joint Stock Corporation; Mr. Dinh Van Hien , Member of the Board of Directors and General Director of Bac Kan Mineral Joint Stock Corporation, also serves as Chairman of	ERC No. 4700297378, issued on September 30, 2025 by the Department of Finance of Thai Nguyen Province	Thanh Thinh Industrial Cluster, Thanh Thinh Commune, Thai Nguyen Province	2026	Resolution No. 07/2026/NQ-BOD dated March 30, 2026	Bac Kan Mineral Joint Stock Corporation holds 80% of the charter capital of 9999 Zinc Lead Joint Stock Company; total transaction value: VND 10 billion.	Approval of contracts and transactions between the Company and internal persons or their related persons.

No.	Name of Organization/Individual	Relationship with the Company	Enterprise Registration Certificate No., Date of Issue, Issuing Authority	Registered Office/Contact Address	Transaction Period	Resolution/Decision of the GMS/BOD Approving the Transaction (if any)	Number of Shares and Ownership Percentage after the Transaction	Remarks
		the Board of Directors of 9999 Zinc Lead Joint Stock Company.						
04	9999 Zinc Lead Joint Stock Company	Subsidiary of Bac Kan Mineral Joint Stock Corporation	ERC No. 4700297378, issued on September 30, 2025 by the Department of Finance of Thai Nguyen Province	Thanh Thinh Industrial Cluster, Thanh Thinh Commune, Thai Nguyen Province	2026	Resolution No. 14/2026/NQ-BOD dated April 24, 2026	Bac Kan Mineral Joint Stock Corporation holds 80% of the charter capital of 9999 Zinc Lead Joint Stock Company; total transaction value: VND 15 billion.	Approval of contracts and transactions between the Company and internal persons or their related persons.
05	9999 Zinc Lead Joint Stock	Subsidiary of Bac Kan	ERC No. 4700297378, issued	Thanh Thinh Industrial	2026	Resolution No. 54/2026/NQ-BOD	Bac Kan Mineral Joint Stock	Participation in the 2026

No.	Name of Organization/Individual	Relationship with the Company	Enterprise Registration Certificate No., Date of Issue, Issuing Authority	Registered Office/Contact Address	Transaction Period	Resolution/Decision of the GMS/BOD Approving the Transaction (if any)	Number of Shares and Ownership Percentage after the Transaction	Remarks
	Company	Mineral Joint Stock Corporation	on September 30, 2025 by the Department of Finance of Thai Nguyen Province	Cluster, Thanh Thinh Commune, Thai Nguyen Province		dated June 16, 2026	Corporation holds 80% of the charter capital of 9999 Zinc Lead Joint Stock Company.	General Meeting of Shareholders of 9999 Zinc Lead Joint Stock Company.

4.2. Transactions between the Company and Companies in which Related Persons of Members of the Board of Directors, Members of the Supervisory Board, the General Director, or Other Managers Serve as Members of the Board of Directors or Executive Directors/General Directors: None.

4.3. Other Transactions of the Company (if any) that may Bring Material or Non-material Benefits to Members of the Board of Directors, Members of the Supervisory Board, the General Director, or Other Managers: None.

VIII. SHARE TRANSACTIONS OF INTERNAL PERSONS AND THEIR RELATED PERSONS (First Six Months of 2026)

1. List of Internal Persons and Their Related Persons

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID Card/Passport No., Date of Issue, Place of Issue	Contact Address	Number of Shares Held at the End of the Reporting Period	Shareholding Ratio at the End of the Reporting Period	Remarks
1	Mr. Vu Phi Ho		Chairman of the Board of Directors			0	0%	
1.1	Vu Hoang International Manufacturing and Trading Company Limited			ERC No. 0101311107, issued on March 21, 2024 by the Hanoi Department of Planning and Investment	68 Hoang Dao Thuy Street, Yen Hoa Ward, Hanoi	0	0%	Mr. Vu Phi Ho is the Chairman of the Members' Council.
1.2	Nghe An Bus Station Joint Stock Company			ERC No. 2900599622, issued on August 23, 2024 by the Department of Planning and Investment of Nghe An Province	No. 77 Le Loi Street, Thanh Vinh Ward, Nghe An Province	0	0%	Mr. Vu Phi Ho is the Chairman of the Board of Directors.

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID Card/Passport No., Date of Issue, Place of Issue	Contact Address	Number of Shares Held at the End of the Reporting Period	Shareholding Ratio at the End of the Reporting Period	Remarks
1.3	Quang Ninh Bus Station Joint Stock Company			ERC No. 5700100640, issued on August 14, 2025 by the Department of Finance of Quang Ninh Province	354 Ha Long Street, Bai Chay Ward, Quang Ninh Province	0	0%	Mr. Vu Phi Ho is the Chairman of the Board of Directors.
1.4	Tay Nguyen Durian Joint Stock Company			ERC No. 6001394460, issued on June 18, 2025 by the Department of Finance of Dak Lak Province	CN4 Lot, Tan An Industrial Cluster, Tan An Ward, Dak Lak Province	0	0%	Mr. Vu Phi Ho is the Chairman of the Board of Directors.
1.5	Ben Thuy Trading and Tourism Joint Stock Company			ERC No. 2900325678, issued on July 31, 2025 by the Department of Finance of Thai Nguyen Province	No. 41 Nguyen Du Street, Truong Vinh Ward, Nghe An Province	0	0%	Mr. Vu Phi Ho is the Chairman of the Board of Directors.

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID Card/Passport No., Date of Issue, Place of Issue	Contact Address	Number of Shares Held at the End of the Reporting Period	Shareholding Ratio at the End of the Reporting Period	Remarks
2	Mr. Dinh Van Hien	001C106759	Member of the Board of Directors, General Director			5.152	0,02%	
2.1	Ms. Pham Huong Sen	None	None			0	0%	Spouse
2.2	Mr. Dinh Thai Son	None	None			0	0%	Biological son
2.3	Mr. Dinh Thai Bao	None	None			0	0%	Biological son
2.4	Ms. Vu Thi Thom	None	None			0	0%	Daughter-in-law
2.5	Mr. Dinh Van Lung	None	None			0	0%	Father

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID Card/Passport No., Date of Issue, Place of Issue	Contact Address	Number of Shares Held at the End of the Reporting Period	Shareholding Ratio at the End of the Reporting Period	Remarks
2.6	Mr. Dinh Van Thuong	None	None			0	0%	Elder brother
2.7	Mr. Dinh Van Lap	None	None			0	0%	Elder brother
2.8	Tay Nguyen Durian Joint Stock Company	-	-	Số 6001394460 cấp ngày 18/6/2025 tại Sở Tài chính tỉnh Đắk Lắk	Lô CN4, Cụm công nghiệp Tân An, Phường Tân An, Tỉnh Đắk Lắk,	0	0%	Mr. Dinh Van Hien is a Member of the Board of Directors.
2.9	9999 Zinc Lead Joint Stock Company			Số 4700297378 cấp ngày 30/9/2025 tại Sở Tài chính tỉnh Thái Nguyên	Cụm Công nghiệp Thanh Thịnh, xã Thanh Thịnh, tỉnh Thái Nguyên	0	0%	Mr. Dinh Van Hien is the Chairman of the Board of Directors.

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID Card/Passport No., Date of Issue, Place of Issue	Contact Address	Number of Shares Held at the End of the Reporting Period	Shareholding Ratio at the End of the Reporting Period	Remarks
3	Mr. Nguyen Huy Hoan		Member of the Board of Directors			584.400	2,49%	
3.1	Nguyen Huy Trading and Services Company Limited	-	-	ERC No. 0101306731, first issued on October 31, 2002 by the Hanoi Department of Planning and Investment	No. 2, Alley 124 Thuy Khue Street, Tay Ho Ward, Hanoi	0	0%	Mr. Nguyen Huy Hoan is the Chairman of the Company.
3.2	Nghe An Bus Station Joint Stock Company	-	-	ERC No. 2900599622, issued on August 23, 2024 by the Department of Planning and Investment of Nghe An Province	No. 77 Le Loi Street, Thanh Vinh Ward, Nghe An Province	0	0%	Mr. Nguyen Huy Hoan is a Member of the Board of Directors.

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID Card/Passport No., Date of Issue, Place of Issue	Contact Address	Number of Shares Held at the End of the Reporting Period	Shareholding Ratio at the End of the Reporting Period	Remarks
4	Mr. Le Minh Khue		Independent Member of the Board of Directors			0	0	
4.1	Mr. Le Xuan Khang	-	-			0	0	Father
4.2	Ms. Nguyen Thi Thoa	-	-			0	0	Mother
4.3	Mr. Le Minh Hai	-	-			0	0	Father-in-law
4.4	Ms. Tran Thi Tam	-	-			0	0	Mother-in-law
4.5	Ms. Le Thi Viet Hang		-			0	0	Spouse
4.6	Mr. Le Chi Kien	-	-			0	0	Biological son
4.7	Ms. Le Minh Ngoc	-	-			0	0	Biological

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID Card/Passport No., Date of Issue, Place of Issue	Contact Address	Number of Shares Held at the End of the Reporting Period	Shareholding Ratio at the End of the Reporting Period	Remarks
								daughter
4.8	Ms. Le Thanh Khoa	-	-			0	0	Elder sister
4.9	Mr. Le Minh Khanh	-	-			0	0	Elder brother
4.10	Ms. Le Thi Hoa	-	-			0	0	Elder sister
4.11	Mr. Chu Van Tuan	-	-			0	0	Brother-in-law
4.12	Ms. Quach Thi Hang	-	-			0	0	Sister-in-law
4.13	Mr. Le Tuan Thanh	-	-			0	0	Brother-in-law
4.14	Dong Nai Construction Materials and Fuel Joint Stock Company	-	-	ERC No. 3600661303, issued on March 18, 2022 by the Department of Planning and	255B Pham Van Thuan Street, Tam Hiep Ward, Dong Nai Province	0	0	Mr. Le Minh Khue is a Member of the Board of

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID Card/Passport No., Date of Issue, Place of Issue	Contact Address	Number of Shares Held at the End of the Reporting Period	Shareholding Ratio at the End of the Reporting Period	Remarks
				Investment of Dong Nai Province				Directors.
4.15	Long Thanh Trading Joint Stock Company	-	-	ERC No. 3600445359, issued on July 17, 2020 by the Department of Planning and Investment of Dong Nai Province	No. 286 Le Duan Street, Van Hai Hamlet, Long Thanh Commune, Dong Nai Province	0	0	Mr. Le Minh Khue is Vice Chairman of the Board of Directors.
5	Mr. Nguyen Tran Nhat	-	Member of the Board of Directors, Deputy General Director			0	0%	

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID Card/Passport No., Date of Issue, Place of Issue	Contact Address	Number of Shares Held at the End of the Reporting Period	Shareholding Ratio at the End of the Reporting Period	Remarks
5.1	Thien Ma Group Company Limited	-	-	ERC No. 4700247955, issued on November 20, 2025 by the Department of Finance of Thai Nguyen Province	Hamlet 13, Cho Don Commune, Thai Nguyen Province	6.000.000	25,26%	Mr. Nguyen Tran Nhat is the Chairman of the Members' Council.
5.2	Quang Ninh Bus Station Joint Stock Company	-	-	ERC No. 5700100640, issued on August 14, 2025 by the Department of Finance of Quang Ninh Province	354 Ha Long Street, Bai Chay Ward, Quang Ninh Province	0	0%	Mr. Nguyen Tran Nhat is a Member of the Board of Directors.
5.3	9999 Zinc Lead Joint Stock Company			ERC No. 4700297378, issued on September 30, 2025 by the Department of Finance of Thai Nguyen Province	Thanh Thinh Industrial Cluster, Thanh Thinh Commune, Thai Nguyen Province	0	0%	Mr. Nguyen Tran Nhat is a Member of the Board of Directors.

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID Card/Passport No., Date of Issue, Place of Issue	Contact Address	Number of Shares Held at the End of the Reporting Period	Shareholding Ratio at the End of the Reporting Period	Remarks
6	Mr. Vu Gia Hanh		Deputy General Director			600	0,003%	
6.1	Mr. Vu Gia Viet	None	None			0	0%	Father
6.2	Ms. Nong Thi My	None	None			0	0%	Mother
6.3	Ms. Hoang Thi Lay	None	None			0	0%	Spouse
6.4	Mr. Vu Gia Phuc	None	None			0	0%	Younger brother
6.5	Ms. Vu Thi Anh Thu	None	None			0	0%	Biological daughter
6.6	Mr. Vu Gia An Phu	None	None			0	0%	Biological son
7	Mr. Tran Van Quyen		Deputy General Director			17.000	0,07%	

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID Card/Passport No., Date of Issue, Place of Issue	Contact Address	Number of Shares Held at the End of the Reporting Period	Shareholding Ratio at the End of the Reporting Period	Remarks
7.1	Ms. Le Thi Hien	None	None			0	0%	Mother
7.2	Mr. Tran Quang Hiep	None	None			0	0%	Elder brother
7.3	Ms. Tran Thi Hang	None	None			0	0%	Elder sister
7.4	Ms. Tran Thi Hanh	None	None			0	0%	Elder sister
7.5	Ms. Tran Thi Huong	None	None			0	0%	Younger sister
7.6	Ms. Tran Thi Tra	None	None			0	0%	Spouse
7.7	Ms. Tran Thi Anh	None	None			0	0%	Biological daughter
7.8	Mr. Tran Van Quang	None	None			0	0%	Biological son
7.9	Ms. Tran Thi Ha My	None	None			0	0%	Biological daughter

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID Card/Passport No., Date of Issue, Place of Issue	Contact Address	Number of Shares Held at the End of the Reporting Period	Shareholding Ratio at the End of the Reporting Period	Remarks
7.10	Thien Ma Group Company Limited	-	-	ERC No. 4700247955, issued on November 20, 2025 by the Department of Finance of Thai Nguyen Province	Hamlet 13, Cho Don Commune, Thai Nguyen Province	6.000.000	25,26%	Mr. Tran Van Quyen is a Member of the Members' Council
7.11	9999 Zinc Lead Joint Stock Company			ERC No. 4700297378, issued on September 30, 2025 by the Department of Finance of Thai Nguyen Province	Thanh Thinh Industrial Cluster, Thanh Thinh Commune, Thai Nguyen Province	0	0%	Mr. Tran Van Quyen is the Director and Legal Representative of the Company.
8	Mr. Do Dinh Thang		Deputy General Director			0	0%	

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID Card/Passport No., Date of Issue, Place of Issue	Contact Address	Number of Shares Held at the End of the Reporting Period	Shareholding Ratio at the End of the Reporting Period	Remarks
8.1	Mr. Do Dinh Long	None	None			0	0%	Father
8.2	Ms. Nguyen Thi Nham	None	None			0	0%	Mother
8.3	Mr. Do Dinh Thuyen	None	None			0	0%	Brother
8.4	Ms. Do Thi Thao	None	None			0	0%	Sister
8.5	Ms. Do Thi Hien	None	None			0	0%	Sister
8.6	Mr. Do Dinh Ha	None	None			0	0%	Brother
8.7	Ms. Duong Thi Ly	None	None			0	0%	Wife
8.8	Mr. Do Duong Gia Bao	None	None			0	0%	Son
8.9	Mr. Do Duong Gia Khanh	None	None			0	0%	Son
9	Ms. Tran Thi Yen	018C107665	Chief Accountant			1.732	0.01%	

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID Card/Passport No., Date of Issue, Place of Issue	Contact Address	Number of Shares Held at the End of the Reporting Period	Shareholding Ratio at the End of the Reporting Period	Remarks
9.1	Mr. Tran Van Tuyen	None	None			0	0%	Father
9.2	Mr. Tran Van Mien	None	None			0	0%	Brother
9.3	Mr. Tran Van Viet	None	None			0	0%	Brother
9.4	Mr. Tran Van Cuong	None	None			0	0%	Brother
9.5	Mr. Nguyen Tran Hung	None	None			0	0%	Husband
10	Ms. Tran Thi Tuyet	None	Finance and Accounting Manager			0	0%	
10.1	Mr. Tran Van Hung	None	None			0	0%	Father
10.2	Ms. Pham Thi Thin	None	None			0	0%	Mother

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID Card/Passport No., Date of Issue, Place of Issue	Contact Address	Number of Shares Held at the End of the Reporting Period	Shareholding Ratio at the End of the Reporting Period	Remarks
10.3	Ms. Tran Thi Tuyet Huong	None	None			0	0%	Sister
10.4	Ms. Tran Thi Tuyet Lan	None	None			0	0%	Sister
10.5	Mr. Vu Duc Toan	None	None			0	0%	Husband
10.6	Ms. Vu Tu Anh	None	None			0	0%	Daughter
10.7	Ms. Vu Thu Dung	None	None			0	0%	Daughter
11	Mr. Nguyen The Phong		Head of the Supervisory Board			2.576	0,01%	
11.1	Ms. Nguyen Thi Sich	None	None			0	0%	Mother
11.2	Ms. Thai Bich Hue	None	None			0	0%	Wife
11.3	Ms. Nguyen Thi Thinh	None	None			0	0%	Sister

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID Card/Passport No., Date of Issue, Place of Issue	Contact Address	Number of Shares Held at the End of the Reporting Period	Shareholding Ratio at the End of the Reporting Period	Remarks
11.4	Mr. Nguyen The Hoi	None	None			0	0%	Brother
11.5	Ms. Nguyen Thi Thanh Thuy	None	None			0	0%	Sister
11.6	Ms. Nguyen Thai Anh Hong	None	None			0	0%	Daughter
11.7	Ms. Nguyen Thai Ban Mai	None	None			0	0%	Daughter
12	Mr. Bui Manh Cuong		Member of the Supervisory Board			23.000	0,1%	
12.1	Mr. Bui Dinh Chung	None	None			0	0	Father
12.2	Ms. Dang Thi Mao	None	None			0	0	Mother
12.3	Ms. Dang Thi Thuy	None	None			0	0	Wife
12.4	Ms. Bui Thuc Linh	None	None			0	0	Minor Daughter

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID Card/Passport No., Date of Issue, Place of Issue	Contact Address	Number of Shares Held at the End of the Reporting Period	Shareholding Ratio at the End of the Reporting Period	Remarks
12.5	BMC Trading and Transport Company Limited	None	None	Business Registration Certificate No. 0202282935 issued on 15 April 2025 by the Hai Phong Department of Finance	No. 55, Alley 23, Dong Hai Ward, Hai Phong City, Vietnam	0	0	Mr. Bui Manh Cuong is the Chairman of the Members' Council and General Director
12.6	Global Consulting and Construction Company Limited	None	None	Business Registration Certificate No. 0106413092 issued on 03 February 2026 by the Hanoi Department of Finance	Lot C3/D2, Cau Giay New Urban Area, Cau Giay Ward, Hanoi, Vietnam	0	0	Mr. Bui Manh Cuong is the Director
12.7	9999 Zinc Lead Joint Stock Corporation			Business Registration Certificate No. 4700297378 issued on 30 September 2025 by the Thai Nguyen Provincial Department of	Thanh Thinh Industrial Cluster, Thanh Thinh Commune, Thai Nguyen Province, Vietnam	0	0%	Mr. Bui Manh Cuong is a Member of the Board of Directors

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID Card/Passport No., Date of Issue, Place of Issue	Contact Address	Number of Shares Held at the End of the Reporting Period	Shareholding Ratio at the End of the Reporting Period	Remarks
				Finance				
13	Mr. Bui Duc Hung	038C002245	Member of the Supervisory Board			132	0.001%	
13.1	Ms. Nguyen Thi Luan	None	None			0	0%	Mother
13.2	Ms. Me Thi Xuyen	None	None			0	0%	Wife
13.3	Mr. Bui Duc Manh	None	None			0	0%	Son
13.4	Mr. Bui Ngoc An	None	None			0	0%	Son
14	Mr. Nguyen Van Vu		Person in Charge of Information Disclosure; Corporate Governance			0	0%	

No.	Full Name	Securities Trading Account (if any)	Position in the Company (if any)	ID Card/Passport No., Date of Issue, Place of Issue	Contact Address	Number of Shares Held at the End of the Reporting Period	Shareholding Ratio at the End of the Reporting Period	Remarks
			Officer					
14.1	Mr. Nguyen Van Bien	None	None			0	0%	Father
14.2	Ms. Be Thi Viet	None	None			0	0%	Mother
14.3	Mr. Nguyen Van Thiep	None	None			0	0%	Younger Brother
14.4	Ms. Ma Thi Man	None	None			0	0%	Wife
14.5	Mr. Nguyen Nhat Nam	None	None			0	0%	Son

2. Transactions in the Company's Shares by Members of the Supervisory Board and Their Related Persons: None.

IX. Other Matters Requiring Attention: None.

Recipients:

- As addressed above;
- Board of Directors;
- Supervisory Board;
- Board of Management;
- Company Secretary;
- Filed: Administration Office.

**CHAIRMAN OF THE BOARD OF
DIRECTORS**



Vu Phi Ho

10

